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PATENT 0020-4802P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:

Ichiro AZUMA et al.

Appl. No.:

NEW

Group:

Unassigned

Filed:

January 16, 2001

Examiner: UNASSIGNED

For:

FORMULATIONS USEFUL FOR IMMUNOTHERAPY

FOR CANCERS CONTAINING BACTERIAL COMPONENT AS AN ACTIVE INGREDIENT

INFORMATION DISCLOSURE STATEMENT (SUBMISSION CONCURRENT WITH THE FILING OF A NEW PATENT APPLICATION)

Assistant Commissioner for Patents Washington, DC 20231

January 16, 2001

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- This application is a National Phase of a PCT application. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should be forwarded from the International Search Authority. If copies are needed, please contact the undersigned.

Docket No. 0020-4802P

III.	. CONCISE EXPLANATION OF THE RELEVANCE		
	(cne	ck at	least one box)
	a.		DOCUMENTS IN THE ENGLISH LANGUAGE
			The attached patents, publications, or other information in the English language do not require a statement of relevancy.
	b.		DOCUMENTS NOT IN THE ENGLISH LANGUAGE
			A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:
	c.		ENGLISH LANGUAGE SEARCH REPORT
			An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).
	d.		OTHER
			The following additional information is provided

for the Examiner's consideration.

Docket No. 0020-4802P

FEES

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If The Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Respectfully submitted,

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0020-4802P

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Sheet 1 of 1

APPLICATION NO. ATTY DOCKET NO. Form PTO-1449 NO9/743750 0020-4802P APPLICANT INFORMATION DISCLOSURE CITATION Ichiro AZUMA et al. IN AN APPLICATION FILING DATE GROUP (Use several sheets if necessary) January 16, 2001 Unassigned U.S. PATENT DOCUMENTS DATE NAME DOCUMENT NUMBER EXAMINER INITIAL 1984-03-13 8 4 4 3 6 2 FOREIGN PATENT DOCUMENTS TRANSLATION CLASS COUNTRY DATE DOCUMENT NUMBER YES 110 1979-03-03 JAPAN 3 Α 54-2 3 3 1 1996-05-23 WO **A**1 96/ 8 1 4 7 1 JAPAN A 1985-01-07 60-3 3 1 1 JAPAN 1985-06-28 2 0 8 1 7 A 1 OTHER DOCUMENTS (Include Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.

DATE CONSIDERED EXAMINER

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.